# WSO Compliance Insights

A modern, intuitive user experience for the management of compliance tests with real-time, event-driven data streaming

Asset managers across the public and private markets are obligated to adhere to the compliance policies and procedures outlined in their governing indentures. Navigating within the bounds and limitations of the indenture requires detailed credit risk analysis, trade lifecycle management and compliance test monitoring.

WSO Compliance Insights streamlines the credit risk management process and automates the execution of compliance tests required by the governing indenture and collateral management agreements. It ensures you receive accurate reports, based on real-time data, that help you manage your portfolio more effectively and efficiently, while eliminating the amount of time spent performing custom analytics and creating in-house reports.

Our industry-leading solution includes real-time, hypothetical trade management capabilities that support cross-deal and cross-asset allocation, as well as multi-trade scenarios and shell asset creation. The event-driven data streaming and connectivity to WSO provides the front-office with visibility of their current holdings, ensuring you have the most timely and accurate data that impacts compliance tests.

The comprehensive compliance dashboards with a 'side-by-side' comparison view incorporate a visualization layer which transforms the user experience and allows for ultimate flexibility in viewing test results via a web-based application hosted within AWS.
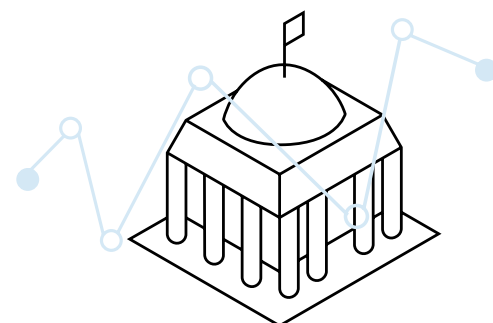
Investment vehicles supported

– **Collateralized Debt Obligations (CDOs)**
– **Collateralized Loan Obligations (CLOs)**
– **Private Credit**
– **Separately Managed Accounts (SMAs)**
– **Total Return Swaps (TRSs)**

Key Features

Event-driven test views
Visualize new test results in real-time within the compliance dashboard, enabling you to analyze impactful changes throughout the day as holdings and securities change within WSO.

Cross-deal views
View test results for multiple deals simultaneously, increasing the visibility of common breaks across deals and providing a holistic snapshot of the overall health of your portfolios.

Real-time, cross-deal hypothetical trade management
Leveraging the real-time integration with WSO, run hypos against actual information as it exists in WSO versus from start of day information. This robust offering supports cross-deal and cross-asset allocation, as well as multi-trade scenarios and shell asset creation.
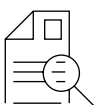
Comparison View
Reconcile test result differences with ease through the Comparison View screen, a powerful tool that performs a 'side by side' comparison of test results across multiple data sets, or across multiple points in time for a single data set. Differences are highlighted for easy identification and accompanying drilldowns detail the changed data points.

Automated snapshots with report scheduling options
Provide point-in-time historical results on effective date or execution date/time, allowing users the flexibility to view their data any way they choose; users can decide to keep data static, or incorporate backdated changes processed in WSO.

Web-based platform
Deploy features to production quickly and eliminate the need for upgrades through frequent, iterative release cycles. Make use of pre- and post-trades APIs designed for seamless third-party integration.

Interactive drilldowns
Utilize the extensive click-through ability to drill down from high level summary views into the most granular test details. Drill through to different views based on Test Category, Pass/Fail Status, Data Sets, and more.

Data transparency
Use the drill-down functionality to view the breakout of positions that make up the test. Easily distinguish positions that contributed to the test result and a comprehensive list of positions that were tested.

Historical test results
Access historical, point-in-time data sets based on effective date or execution date/time of the test run, increasing auditability and offering the choice to include or exclude material backdated activity on new runs with the same effective date.

User management
Extend user reach across your organization with user setup and authorization managed directly on the website by a designated Company Administrator.
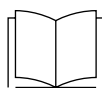
Support
Enjoy in-application support that includes direct access to documentation and user guides, plus walk-through tutorials of screens and new features as they are released.

Compare test results:

Run hypos against actual information:

Interactive dashboard showing your compliance summary:

Contact us for a demo of WSO Compliance Insights

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